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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Srivastava, Pramod K.

Application No.: To Be Assigned; Continuation
of Application Serial No. 09/090,754, filed
June 4, 1998

Group Art Unit: To Be Assigned

Filed: August 12, 2003

Examiner: To Be Assigned

For: COMPOSITIONS AND METHODS FOR
THE PREVENTION AND TREATMENT
OF PRIMARY AND METASTATIC
NEOPLASTIC DISEASES AND
INFECTIOUS DISEASES WITH HEAT
SHOCK/STRESS PROTEINS

Attorney Docket No.: 8449-317-999

Confirmation Number: To Be Assigned

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Prior to examination of the above-captioned application, please enter the following amendments and consider the following remarks. Applicant submits herewith an Amendment Fee Transmittal, a Declaration under 37 C.F.R. § 1.68 and M.P.E.P. 608.01(p), and Exhibits A-D: Exhibit A (copy of Gething et al., 1992, *Nature* 355: 33-45), Exhibit B (copy of Lindquist et al., *Ann. Rev. Genetics* 22: 631-677), Exhibit C (copy of U.S. Patent No. 6,433,141 to Wallen *et al.* and assigned on its face to the University of New Mexico), and Exhibit D (a copy of Fordham Miscellaneous Motion 4 Under 37 C.F.R. § 1.635 filed in Interference 104,761).

Amendments to the Specification begin at page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begin at page 14 of this paper. **Remarks** begin at page 16 of this paper.